

66,291-155 (ABB Ref: 8245)
08/973,306



DAC
#17/IDS
Supp.
DEVANS
3/9/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Leijon et al.

Serial No. 08/973,306

Art Unit: 2838

Filed: 04/10/1998

Examiner: Riley, S.

For: A ROTATING ASYNCHRONOUS CONVERTER AND A GENERATOR
DEVICE (AS AMENDED)

Docket No.: 66,291-155
ABB Ref: 8245

Box AF
Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

FEB 27 2001

OFFICE OF PETITIONS

TC 2800 MAIL ROOM

MAR -5 2001

RECEIVED

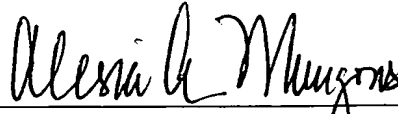
**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
SUBMITTED WITHOUT COPIES OF INFORMATION
DISCLOSURE STATEMENT CITATIONS PURSUANT TO
DECISION ON PETITION UNDER 37 C.F.R. 1.183
SEEKING WAIVER OF REQUIREMENTS UNDER 37 C.F.R. 1.98**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO Form-1449, an addendum to the previous PTO Form-1449 filed in this application. Copies of the 169 references set forth on the attached addendum PTO Form-1449 have been filed with the Office on December 21, 2000 in accord with the terms of the Office's Decision on Petition (copy attached).

CERTIFICATE OF MAILING

I hereby certify that this Supplemental Information Disclosure Statement and recited attachments are being deposited with the United States Postal Service on this 21st day of February, 2001 in an envelope as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.


Alesia A. Mungons

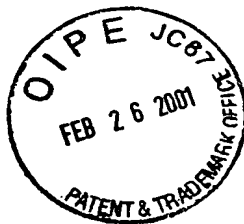
The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. See 37 C.F.R. §§ 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Pursuant to the Decision on Petition dated December 1, 1999, which was filed in U.S. Patent Application No. 09/147,325 (the holding application), the requirement for the submission of a copy of each Information Disclosure Statement citation is waived provided that the conditions set forth in paragraphs 1-8 (pages 8-10) of the Decision on Petition are met.

The following conditions set forth in the Decision on Petition are believed to have been met:

1. Three paper copies of each Information Disclosure Statement citation on the attached addendum PTO Form-1440 has been supplied to the U.S. Patent and Trademark Office on December 21, 2000, specifically with Mr. Michael Gellner.
2. This application (the bulk filing application) for which the waiver is desired is related to the above-identified holding application, U.S. Patent Application No. 09/147,325.
3. The information herein has been cited in the above holding application.
4. A copy of the Decision on Petition granting the waiver is attached hereto.
5. At present, no explanatory information related to any particular citation has been submitted in the holding application except for transactions of foreign language references, if applicable.
6. As of the time of this filing, the Office has not terminated the waiver grant, nor has the Applicant terminated or withdrawn its assent to the waiver.
7. The holding application is co-pending herewith.

66,291-155 (ABB Ref: 8245)
08/973,306



8. The paper copies of the references cited herein are believed to be contained (or will be contained) in a series of official digests established by the Office which is noted in the Decision on Petition.

Please consider and enter into the record the citations on the attached Form PTO-1449. Please charge any fees to Deposit Account No. 04-2223.

Respectfully submitted,

By:

John W. Rees, Reg. No. 38,278
Dykema Gossett PLLC
39577 Woodward Avenue, Suite 300
Bloomfield Hills, MI. 48304-2820
(248) 203-0832
jrees@dykema.com

John P. Deluca, Reg. No. 25,505
Dykema Gossett PLLC
Franklin Square, Third Floor West
1300 I Street N.W.
Washington, DC 20005-3535
(202) 522-8626
jdeluca@dykema.com

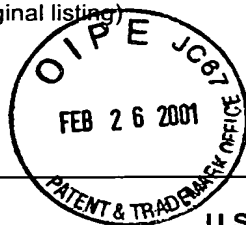
BH01\290148.1
ID\JWR

RECEIVED

FEB 27 2001

OFFICE OF PETITIONS

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(additional to original listing)



Docket Number: 66,291-155

Serial No. 08/973,306

Applicant(s): Leijon et al.

Filing Date: 04/10/1998

Group Art Unit: 2838

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	1	US 1,508,456	9/16/24	W.G.Lenz			
	2	US 1,904,885	4/18/33	G.A.Seeley			
	3	US 2,409,893	10/22/46	W.W. Pendleton et al			
	4	US 2,650,350	8/25/53	P.D. Heath			
	5	US 2,749,456	06/05/56	F.O. Luenberger			
	6	US 3, 014, 139	12/19/61	L.P. Shildneck			
	7	US 3,197,723	7/27/65	I.K.Dortort			
	8	US 3,392,779	7/16/68	K.B. Tilbrook			
	9	US 3,411,027	11/12/68	H. Rosenberg			
	10	US 3,541,221	11/17/70	M.Aupoix et al			
	11	US 3,571,690	3/23/71	V V A V Lataisa			
	12	US 3,651,244	3/21/72	D.A. Silver et al			
	13	US 3,660,721	5/2/72	L.L.Baird			
	14	US 3,666,876	5/30/72	E.O.Forster			
	15	US 3,684,906	8/15/72	H.G.Lexz			
	16	US 3,699,238	10/17/72	T.E.Hansen et al			
	17	US 3,743,867	7/3/73	J.L. Smith, Jr.			
	18	US 3,787,607	1/22/74	H.J.Schlaflly			
	19	US 3,813,764	6/4/74	E. Tanaka et al			
	20	US 3,828,115	8/6/74	A.Hvzd, Jr.			
	21	US 3,912,957	10/14/75	H.B. Reynolds			
	22	US 3,993,860	11/23/76	J.P.Snow et al			
	23	US 4,008,367	2/15/77	H. Sunderhauf			
	24	US 4,132,914	1/2/79	G.M. Khutoretsky			
	25	US 4,314,168	2/2/82	O. Breitenbach			
	26	US 4,321,426	3/23/82	F.K.Schaeffer			
	27	US 4,361,723	11/30/82	A.Hvzd Jr. et al			
	28	US 4,365,178	12/21/82	H.G.Lexz			
	29	US 4,367,890	1/11/83	F.Spirk			
	30	US 4,384,944	5/24/83	D. A. Silver et al			
	31	US 4,401,920	8/30/83	R.S.Taylor et al			
	32	US 4,432,029	2/14/84	B. Lundqvist			
	33	US 4,437,464	3/20/84	J.J.Crow			
	34	US 4,484,106	11/20/84	R.S.Taylor et al			
	35	US 4,490,651	12/25/84	R.S.Taylor et al			
	36	US 4,508,251	4/2/85	K.Harada et al			
	37	US 4,520,287	5/28/85	D.C.Wang et al			
	38	US 4,571,453	2/18/86	M.Takaoka et al			
	39	US 4,615,778	10/7/86	R.K.Elton			
	40	US 4,622,116	11/11/86	R.K.Elton et al			
	41	US 4,652,963	3/24/87	N. Fahlen			
	42	US 4,723,083	2/2/88	R.K.Elton			
	43	US 4,724,345	2/9/88	R.K.Elton et al			

RECEIVED

FEB 27 2001

OFFICE OF PETITIONS

Examiner

Date

Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
(Corrected Listing of Original List)

[illegible]

RECEIVED

FEB 27 2001

OFFICE OF PETITIONS

Subtotal	65170
-----------------	--------------

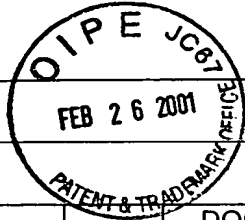
Examiner

Date

Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

**INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449**



FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	1	DE 209,313	4/25/84	Germany		
	2	DE 134,022	12/28/01	Germany		
	3	DE 1,465,719	5/22/69	Germany		
	4	DE 19,020,222	3/13/97	Germany		
	5	DE 19,620,906	1/8/96	Germany		
	6	DE 386,561	12/13/23	Germany		
	7	DE 3,925,337	2/7/91	Germany		
	8	DE 406,371	11/21/24	Germany		
	9	DE 4,402,184	8/3/95	Germany		
	10	DE 4,438,186	5/2/96	Germany		
	11	DE 975,999	1/10/63	Germany		
	12	EP 0,102,513	1/22/86	European		
	13	EP 0,185,788	7/2/86	European		
	14	EP 0,221,404	5/16/90	European		
	15	EP 0,503,817	9/16/92	European		
	16	EP 0,620,630	10/19/94	European		
	17	EP 0,739,087 A2	10/23/96	European		
	18	EP 0,739,087 A3	3/27/97	European		
	19	EP 0,749,193 A3	3/26/97	European		
	20	EP 0,749,190 A2	12/18/96	European		
	21	EP 0,913,912 A1	5/6/99	European		
	22	FR 2,481,531	10/30/81	France		
	23	FR 916,959	12/20/46	France		
	24	EP 0,221,404	5/16/90	European		
	25	EP 0,277,358	8/10/86	European		
	26	EP 0,469,155 A1	2/5/92	European		
	27	GB 2,150,153	6/26/85	United Kingdom		
	28	GB 2,332,557	6/23/99	United Kingdom		
	29	DE 468,827	7/13/97	Germany		
	30	GB 666,883	2/20/52	United Kingdom		
	31	GB 739,962	11/2/55	United Kingdom		
	32	HU 175,494	11/28/81	Hungary		
	33	JP 2,017,474	1/22/90	Japan		
	34	JP 57,126,117	5/8/82	Japan		
	35	JP 62,320,631	6/23/89	Japan		
	36	JP 7,161,270	6/23/95	Japan		
	37	JP 8,036,952	2/6/96	Japan		
	38	JP 8,167,360	6/25/96	Japan		
	39	SU 1,189,322	10-86	Switzerland		
	40	SU 266,037	10/11/65	Switzerland		
	41	SU 646,403	2/8/79	Switzerland		
	42	WO 91/11841	8/8/91	PCT		
	43	PCT SE 91/00077	4/23/91	Int'l Search Report		
	44	WO 91/15755	10/17/91	PCT		
	45	WO 97/29494	8/14/97	PCT		

RECEIVED

FEB 27 2001

OFFICE OF PETITIONS

Examiner

Date

Considered

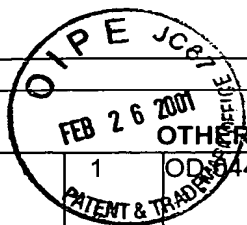
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[illegible]

Date
Considered

Sheet 4 of 7

INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
 (Corrected Listing of Original List)



OTHER REFERENCES (Including Title, Author, Date, Pertinent Pages, etc.)

1	OD 044	A test installation of a self-tuned ac filter in the Konti-Skan 2 HVDC link; T. Holmgren, G. Asplund, S. Valdemarsson, P. Hidman of ABB; U. Jonsson of Svenska Kraftnat; O. loof of Vattenfall Vastsverige AB; IEEE Stockholm Power Tech Conference 6/1995, pp 64-70
2	OD 045	Analysis of faulted Power Systems; P Anderson, Iowa State University Press / Ames, Iowa, 1973, pp 255-257
3	OD 046	36-Kv. Generators Arise from Insulation Research; P. Sidler; <i>Electrical World</i> 10/15/1932, ppp 524
4	OD 047	Oil Water cooled 300 MW turbine generator; L.P. Gnedin et al; <i>Elektrotechnika</i> , 1970, pp 6-8
5	OD 048	J&P Transformer Book 11 th Edition; A. C. Franklin et al; owned by Butterworth – Heinemann Ltd, Oxford Printed by Hartnolls Ltd in Great Britain 1983, pp29-67
6	OD 049	Transformerboard; H.P. Moser et al; 1979, pp 1-19
7	OD 050	The Skagerrak transmission – the world's longest HVDC submarine cable link; L. Haglof et al of ASEA; ASEA Journal Vol 53, Number 1-2, 1980, pp 3-12
8	OD 051	Direct Connection of Generators to HVDC Converters: Main Characteristics and Comparative Advantages; J. Arrillaga et al; <i>Electra</i> No. 149, 08/ 1993, pp 19-37
9	OD 052	Our flexible friend article; M. Judge; <i>New Scientist</i> , 05/10/1997, pp 44-48
10	OD 053	In-Service Performance of HVDC Converter transformers and oil-cooled smoothing reactors; G.L. Desilets et al; <i>Electra</i> No. 155, 08/1994, pp 7-29
11	OD 054	Transformateurs a courant continu haute tension-examen des specifications; A. Lindroth et al; <i>Electra</i> No 141, 04/1992, pp 34-39
12	OD 055	Development of a Termination for the 77 kV-Class High Tc Superconducting Power Cable; T. Shimonosono et al; IEEE Power Delivery, Vol 12, No 1, 01/1997, pp 33-38
13	OD 056	Verification of Limiter Performance in Modern Excitation Control Systems; G. K. Girgis et al; IEEE Energy Conservation, Vol. 10, No. 3, 09/1995, pp 538-542
14	OD 057	A High Initial response Brushless Excitation System; T. L. Dillman et al; IEEE Power Generation Winter Meeting Proceedings, 01/31/1971, pp 2089-2094
15	OD 058	Design, manufacturing and cold test of a superconducting coil and its cryostat for SMES applications; A. Bautista et al; IEEE Applied Superconductivity, Vol 7, No. 2, 06/1997, pp 853-856
16	OD 059	Quench Protection and Stagnant Normal Zones in a Large Cryostable SMES; Y. Lvovsky et al; IEEE Applied Superconductivity, Vol. 7, No. 2, 06/1997, pp 857-860
17	OD 060	Design and Construction of the 4 Tesla Background Coil for the Navy SMES Cable Test Apparatus; D.W. Scherbarth et al; IEEE Applied Superconductivity, Vol. 7, No. 2, 06/1997, pp 840-843
18	OD 061	High Speed Synchronous Motors Adjustable Speed Drives; ASEA Generation Pamphlet OG 135-101 E, 01/1985, pp 1-4
19	OD 062	Billig burk motor overtonen; A. Felldin; <i>ERA (TEKNIK)</i> 08/1994, pp 26-28
20	OD 063	400-kV XLPE cable system passes CIGRE test; ABB Article; ABB Review 09/1995, pp 38
21	OD 064	FREQSYN – a new drive system for high power applications; J-A. Bergman et al; ASEA Journal 59, 04/1986, pp16-19
22	OD 065	Canadians Create Conductive Concrete; J. Beaudoin et al; <i>Science</i> , Vol. 276, 05/23/1997, pp 1201
23	OD 066	Fully Water-Cooled 190 MVA Generators in the Tonstad Hydroelectric Power Station; E. Ostby et al; BBC Review 08/1969, pp 380-385
24	OD 068	Relocatable static var compensators help control unbundled power flows; R. C. Knight et al; <i>Transmission & Distribution</i> , 12/1996, pp 49-54
25	OD 069	Investigation and Use of Asynchronized Machines in Power Systems*; N.I. Blotskii et al; <i>Elektrichestvo</i> , No. 12, 1-6, 1985, pp 90-99

RECEIVED

FEB 27 2001

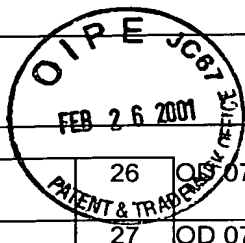
OFFICE OF PETITIONS

Examiner

Date

Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



INFORMATION DISCLOSURE CITATION LIST
ALTERNATE FORM PTO-1449
 (Corrected Listing of Original List)

26	OD 070	Variable-speed switched reluctance motors; P.J. Lawrenson et al; IEE proc, Vol 127, Pt.B, No.4, 07/1980, pp 253-265
27	OD 071	Das Einphasenwechselstromsystem hoherer Frequenz; J.G. Heft; Elektrische Bahnen eb; 12/1987, pp 388-389
28	OD 072	Power Transmission by Direct Current;E. Uhlmann;ISBN 3-540-07122-9 Springer-Verlag, Berlin/Heidelberg/New York; 1975, pp 327-328
29	OD 073	Elektriska Maskiner; F. Gustavson; Institute for Elkraftteknik, KTH; Stockholm, 1996, pp 3-6 - 3-12
30	OD 074	Die Wechselstromtechnik; A. Cour' Springer Verlag, Germany; 1936, pp 586-598
31	OD 075	Insulation systems for superconducting transmission cables; O.Toennesen; Nordic Insulation Symposium, Bergen, 1996, pp 425-432
32	OD 076	MPTC: An economical alternative to universal power flow controllers;N. Mohan; EPE 1997, Trondheim, pp 3.1027-3.1030
33	OD 078	Lexikon der Technik; Luger; Band 2, Grundlagen der Elektrotechnik und Kerntechnik, 1960, pp 395
34	OD 079	Das Handbuch der Lokomotiven (hungarian locomotive V40 1'D'); B. Hollingsworth et al; Pawlak Verlagsgesellschaft; 1933, pp. 254-255
35	OD 080	Synchronous machines with single or double 3-phase star-connected winding fed by 12-pulse load commutated inverter. Simulation of operational behaviour; C. Ivarson et al; ICEM 1994, International Conference on electrical machines, Vol. 1, pp 267-272
36	OD 081	Elkraftshandboken, Elmaskiner; A. Rejminger; Elkraftshandboken, Elmaskiner 1996, 15-20
37	OD 082	Power Electronics - in Theory and Practice; K. Thorborg; ISBN 0-86238-341-2, 1993, pp 1-13
38	OD 083	Regulating transformers in power systems- new concepts and applications; E. Wirth et al; ABB Review 04/1997, p 12- 20,
39	OD 084	Transforming transformers; S. Mehta et al; <i>IEEE Spectrum</i> , July 1997, pp. 43-49
40	OD 085	A study of equipment sizes and constraints for a unified power flow controller; J. Bian et al; IEEE Transactions on Power Delivery, Vol.12, No.3, July 1997, pp.1385-1391
41	OD 086	Industrial High Voltage; F.H. Kreuger; <i>Industrial High Voltage</i> 1991 Vol I, pp. 113-117
42	OD 087	Hochspannungstechnik; A. Kuchler; Hochspannungstechnik, VDI Verlag 1996, pp.365-366, ISBN 3-18-401530-0 or 3-540-62070-2
43	OD 088	High Voltage Engineering; N.S. Naidu; High Voltage Engineering ,second edition 1995 ISBN 0-07-462286-2, Chapter 5, pp91-98,
44	OD 089	Performance Characteristics of a Wide Range Induction Type Frequency Converter; G.A. Ghoneem; Ieema Journal, September 1995, pp 21-34
45	OD 090	International Electrotechnical Vocabulary, Chapter 551 Power Electronics;unknown author; International Electrotechnical Vocabulary Chapter 551: Power Electronics Bureau Central de la Commission Electrotechnique Internationale, Geneve; 1982, pp1-65
46	OD 091	Design and manufacture of a large superconducting homopolar motor; A.D. Appleton; IEEE Transactions on Magnetics, Vol. 19,No.3, Part 2, 05/1983, pp 1048-1050
47	OD 092	Application of high temperature superconductivity to electric motor design; J.S. Edmonds et al; IEEE Transactions on Energy Conversion 06/1992, No. 2 , pp 322-329
48	OD 093	Power Electronics and Variable Frequency Drives; B. Bimal; IEEE industrial Electronics - Technology and Applications, 1996, pp.356,
49	OD 094	Properties of High Plymer Cement Mortar; M. Tamai et al; <i>Science & Technology in Japan</i> , No 63 ; 1977, pp 6-14
50	OD 095	Weatherability of Polymer-Modified Mortars after Ten-Year Outdoor Exposure in Koriyama and Sapporo; Y. Ohama et al; <i>Science & Technology in Japan</i> No. 63; 1977, pp 26-31
51	OD 096	SMC Powders Open New Magnetic Applications; M. Persson (Editor); <i>SMC Update</i> ,Vol. 1, No. 1, April 1997
52	OD 097	Characteristics of a laser triggered spark gap using air, Ar, CH ₄ ,H ₂ , He, N ₂ , SF ₆ and Xe; W.D. Kimura et al; Journal of Applied Physics, Vol. 63, No 6, 15 March 1988, p. 1882-1888

RECEIVED
FEB 27 2001
OFFICE OF PETITIONS

Examiner	Date
	Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP0 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

A circular stamp from the Office of Intellectual Property (OIP). The text "OIP E JCB" is curved along the top inner edge. The date "FEB 26 2007" is stamped in the center. The text "PATENT & TRADEMARK OFFICE" is curved along the bottom inner edge.

[illegible]

~~RECEIVED~~

~~FEB 27 2001~~

~~OFFICE OF PETITIONS~~

[illegible]

GRAND TOTAL	169		
-------------	-----	--	--

Examiner	Date Considered
----------	--------------------

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.